



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

are Patent Application of: )

David A. Hays )

Serial No.: 09/866,730 )

Filed: May 30, 2001 )

Art Unit: 2161 )

Examiner: To Be Assigned )

RECEIVED

OCT 30 2001

Technology Center 2100

For: METHOD AND SYSTEM FOR PROVIDING AN ONLINE COLLECTIONS  
SERVICES MARKETPLACE

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In accordance with 37 C.F.R. §§ 1.56, 1.97, and 1.98, Applicant respectfully requests consideration of the references listed on the attached Form PTO/SB/08A. Copies of the references listed are also enclosed.

Applicant respectfully requests that the Examiner consider the references cited on the PTO/SB/08A and that the Examiner indicate that the references have been considered in this application by returning a copy of the Form PTO/SB/08A with the Examiner's initials in the left column per MPEP 609.

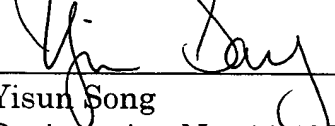
This IDS is submitted prior to the issuance of a first Office Action on the merits; therefore, it is believed that no fees are required in connection therewith. If any fees are



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Date: Oct. 26, 2001

Respectfully submitted,  
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Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 1 of 1

**Complete if Known**

Application Number	09/866,730
Filing Date	05-30-2001
First Named Inventor	David A. HAYS
Group Art Unit	2161
Examiner Name	Unassigned
Attorney Docket Number	57792.000003

**U.S. PATENT DOCUMENTS**

Examiner Initials *	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
	U1	5,991,733		Aleia et al.	11-23-1999	
	U2	5,684,964		Powers et al.	11-04-1997	
	U3	5,995,947		Fraser et al.	11-30-1999	
	U4	5,924,082		Silverman et al.	07-13-1999	
	U5	6,047,274		Johnson et al.	04-04-2000	
	U6	6,021,398		Ausubel	02-01-2000	
	U7	5,826,244		Huberman	10-20-1998	
	U8	5,704,044		Tarter et al.	12-30-1997	

**FOREIGN PATENT DOCUMENTS**

Examiner Initials *	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sub>6</sub>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
	F1							

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	P1	CollectionsX - The next generation of online tools and services for buying and selling debt, 24 pages, printed 9/27/2001 < <a href="http://www.collectionsx.com/Collections/Content/Common/home.asp">http://www.collectionsx.com/Collections/Content/Common/home.asp</a> >	
	P2	NAREX - Company Information, 18 pages, printed 9/28/2001, < <a href="http://www.narex.com/comp_info/comp_info.asp">http://www.narex.com/comp_info/comp_info.asp</a> >	
	P3	E-Debt Financial Services, Inc. - The online marketplace for buying and selling debt, 9 pages, < <a href="http://www.e-debt.com/">http://www.e-debt.com/</a> >	
	P4	RecoverDebt.com - About Us - Mission Statement, 7 pages, < <a href="http://www.recoverdebt.com/about/mission.jsp">http://www.recoverdebt.com/about/mission.jsp</a> >	
	P5	Collection Lawyers - Welcome to CollectionLawyers.com, 6 pages, < <a href="http://www.collectionlawyers.com/">http://www.collectionlawyers.com/</a> >	
	P6	CollectionIndustry.com - Home, 6 pages, < <a href="http://www.collectionsindustry.com/">http://www.collectionsindustry.com/</a> >	
	P7	Imandi.com, 4 pages, < <a href="http://www.imandi.com/">http://www.imandi.com/</a> >	
Examiner Signature		Date Considered	

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<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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